

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT (Use several sheets if necessary)

Attorney Docket No.

014137-005840US

Serial No.:

08/349,177

Applicant: Howard M. Grey et al.

Filing Date: 12/2/94

Group: 1816

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)
<input checked="" type="checkbox"/> A1	5,342,774	08/30/94	Boon et al.	435	240.2	12/12/91
<input checked="" type="checkbox"/> A2	5,200,320	04/06/93	Sette et al.	435	7.24	12/07/87
<input checked="" type="checkbox"/> A3	5,405,940	04/11/95	Boon et al.	530	328	08/31/92

## FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub-class	Translation (yes/no)
<input checked="" type="checkbox"/> B1	WO 94/05304 (PCT/US93/00157)	03/17/94	PCT WO	607K	7/06	
<input checked="" type="checkbox"/> B2	WO 92/21033	11/26/92	PCT WO	-	-	yes
<input checked="" type="checkbox"/> B3	WO 94/11738	3/26/94	PCT WO	-	-	
<input checked="" type="checkbox"/> B4	WO 92/02543	2/92	PCT WO	-	-	

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

<input checked="" type="checkbox"/> C1	Cells, E. et al., <i>Proc. Nat'l. Acad. Sci. USA</i> 91: 2105-2109 (March, 1994), "Induction of anti-tumor cytotoxic T lymphocytes in normal humans using primary cultures and synthetic peptide epitopes"
<input checked="" type="checkbox"/> C2	Ding, M. et al., <i>Biochem. Biophys. Res. Commun.</i> 202(1): 549-555 (July 15, 1994), "Cloning and analysis of MAGE-1-related genes".
<input checked="" type="checkbox"/> C3	Gaugler, B. et al., <i>J. Exp. Med.</i> 179(3): 921-930 (March 1, 1994), "Human gene MAGE-3 codes for an antigen recognized on a melanoma by autologous cytolytic T lymphocytes".
<input checked="" type="checkbox"/> C4	Oakes, M.K. et al., <i>Cancer Res.</i> 54: 1627-1629 (April 1, 1994), "Molecular cytogenetic mapping of the human melanoma antigen (MAGE) gene family to chromosome region Xq27-qtr: implications for MAGE immunotherapy".
<input checked="" type="checkbox"/> C5	Paul, W.F. (ed.), <i>Fundamental Immunology</i> , 3 <sup>rd</sup> ed., pp. 976-978 (1993), Raven Press, NY
<input checked="" type="checkbox"/> C6	Traversari, C. et al., <i>J. Exp. Med.</i> 176: 1453-1457 (November, 1992), "A nonapeptide encoded by human gene MAGE-1 is recognized on HLA-A1 by cytolytic T lymphocytes directed against tumor antigen MZ2-E".
<input checked="" type="checkbox"/> C7	<i>Robbins Pathologic Basis of Disease</i> , 4 <sup>th</sup> edition (R.S. Cotran et al., ed.), pp. 296-299 (1989), W.B. Saunders Co., Philadelphia
<input checked="" type="checkbox"/> C8	Weynants, P. et al., <i>Int. J. Cancer</i> 56: 826-829 (1994), "Expression of MAGE genes by non-small-cell lung carcinomas".
<input checked="" type="checkbox"/> C9	Zakut, R. et al., <i>Cancer Res.</i> 53: 5-8 (January 1, 1993), "Differential expression of MAGE-1, -2, and -3 messenger RNA in transformed and normal human cell lines".

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<input checked="" type="checkbox"/> C10	Urban, J.L., et al., "Autoimmune T Cells: Immune Recognition of Normal and Variant Peptide Epitopes and Peptide-Based Therapy," <i>Cell</i> 59:257-271 (October 20, 1989).
<input type="checkbox"/> C11	Wraith, D.C., et al., "Antigen Recognition in Autoimmune Encephalomyelitis and the Potential for Peptide-Mediated Immunotherapy," <i>Cell</i> 59:247-255 (October 20, 1989).
<input type="checkbox"/> C12	Parker, K.C., et al., "Peptide Binding to HLA-A2 and HLA-B27 Isolated from <i>Escherichia coli</i> ," <i>J. Biol. Chem.</i> 267(8):5451-5459 (March 15, 1992).
<input type="checkbox"/> C13	Morrison, J., et al., "Identification of the nonamer peptide from influenza A matrix protein and the role of pockets of HLA-A2 in its recognition by cytotoxic T lymphocytes," <i>Eur. J. Immunol.</i> , 22:903-907 (1992).
<input type="checkbox"/> C14	Shimojo, N., et al., "Specificity of Peptide Binding by the HLA-A2.1 Molecule," <i>J. of Immunol.</i> 143(9):2939-2947 (November 1, 1989).
<input type="checkbox"/> C15	Carreno, B.M., et al., "HLA-B37 and HLA-A2.1 molecules bind largely nonoverlapping sets of peptides," <i>Proc. Natl. Acad. Sci. USA</i> , 87:3420-3424 (May 1990).
<input type="checkbox"/> C16	Henderson, R.A., et al., "HLA-A2.1-Associated Peptides from a Mutant Cell Line: A Second Pathway of Antigen Presentation," <i>Science</i> 255:1264-1266 (10 February 1992).
<input checked="" type="checkbox"/> C17	Kannagi, M., et al., "Target Epitope in the Tax Protein of Human T-Cell Leukemia Virus Type I Recognized by Class I Major Histocompatibility Complex-Restricted Cytotoxic T Cells," <i>J. of Virol.</i> 66(5):2928-2933 (May 1992).
<input type="checkbox"/> C18	<del>Falk, K., et al., "Allele-specific motifs revealed by sequencing of self-peptide eluted from MHC molecules," <i>Nature</i> 351:290-296 23 May 1991).</del>
<input checked="" type="checkbox"/> C19	Jardetzky, T.S., et al., "Identification of self peptides bound to purified HLA-B27," <i>Nature</i> 353:326-329 (September 26, 1991).
<input type="checkbox"/> C20	Hunt, D.F., et al., "Characterization of Peptides Bound to the Class I MHC Molecule HLA-A2.1 by Mass Spectrometry," <i>Science</i> 255:1261-1263 (March 6, 1992).
<input type="checkbox"/> C21	Rotzschke, O., et al., "Naturally occurring peptide antigens derived from the MHC class-I-restricted processing pathway," <i>Immunology Today</i> 12(12):447-455 (1991).
<input type="checkbox"/> C22	De Bruijn, M.L.H., et al., "Peptide loading of empty major histocompatibility complex molecules on RMA-S cells allows the induction of primary cytotoxic T lymphocyte responses," <i>Eur. J. Immunol.</i> 21:2963-2970 (1991).
<input type="checkbox"/> C23	Pamer, E.G., et al., "Precise prediction of a dominant class I MHC-restricted epitope of <i>Listeria monocytogenes</i> ," <i>Nature</i> 353:852-855 (October 31, 1991).
<input type="checkbox"/> C24	DiBrino, M. et al., "Endogenous peptides bound to HLA-A3 possess a specific combination of anchor residues that permit identification of potential antigenic peptides," <i>Proc. Nat'l. Acad. Sci. USA</i> 90: 1508-1512 (February 1993).
<input type="checkbox"/> C25	Paul, W.F. (ed.), <i>Fundamental Immunology</i> , 2nd ed., pp. 473-487 (1989), Raven Press, NY
<input checked="" type="checkbox"/> C26	Romero et al., <i>J. Exp. Med.</i> 174: 603-612 (Sept. 1991), "H-2Kd-restricted antigenic peptides share a simple binding motif".

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<input checked="" type="checkbox"/> C27	Sette et al., <i>Proc. Nat'l. Acad. Sci. USA</i> 86: 3296-3300 (1989), "Prediction of major histocompatibility complex binding regions by sequence pattern analysis".
<input checked="" type="checkbox"/> C28	Krieger et al., <i>J. Immunol.</i> 146: 2331-2340 (1991) "Single amino acid changes in DR and antigen define residues critical for peptide-MHC binding and T cell recognition".
<input type="checkbox"/> C29	<del>Sette et al., <i>J. Immunol.</i> 147: 3893-3900 (1991), "Random association between the peptide repertoire of A2.1 Class I and several different DR Class II molecules".</del>
<input checked="" type="checkbox"/> C30	Knuth et al., <i>Curr. Opin. Immunol.</i> (1991) 3: 659-664, "Cellular and humoral immune responses against cancer: implications for cancer vaccines".
<input type="checkbox"/> C31	Viret et al., <i>Eur. J. Immunol.</i> (1993) 23: 141-146, "Recognition of shared melanoma antigen by HLA-A2-restricted cytolytic T cell clones derived from human tumor-infiltrating lymphocytes".
<input type="checkbox"/> C32	Hayashi et al., <i>J. Immunol.</i> (1992) 149: 1223-1229, "Molecular cloning and characterization of the gene encoding mouse melanoma antigen by cDNA library transfection".
<input type="checkbox"/> C33	Storkus et al., <i>J. Immunol.</i> (Oct. 1, 1993) 151: 3719-3727, "Identification of human melanoma peptides recognized by Class I restricted tumor infiltrating T lymphocytes".
<input type="checkbox"/> C34	Slingluff et al., <i>J. Immunol.</i> (April 1, 1993) 150: 2955-2960, "Recognition of human melanoma cells by HLA-A2.1-restricted cytotoxic T lymphocytes is mediated by at least six shared peptide epitopes".
<input type="checkbox"/> C35	Van der Bruggen et al., <i>Science</i> (Dec. 13, 1991) 254: 1643-1647, "A gene encoding an antigen recognized by cytolytic T lymphocytes on a human melanoma".
<input type="checkbox"/> C36	Maryanski et al., <i>Cell</i> 60: 63-72 (1990) "Competitor analogs for defined T cell antigens: peptides incorporating a putative binding motif and polyproline or polyglycine spacers"
<input type="checkbox"/> C37	Bjorkman et al., "Structure of the human class I histocompatibility antigen HLA-A2," <i>Nature</i> (1987) 329: 506
<input type="checkbox"/> C38	Buus et al., <i>Science</i> (1988) 242: 1045-1047 "Autologous peptides constitutively occupy the antigen binding site on Ia"
<input checked="" type="checkbox"/> C39	Celis et al., <i>Mol. Immunol.</i> (1994) 31: 1423-1430, "Identification of potential CTL epitopes of tumor-associated antigen Mage-1 for five common HLA-A alleles"
<input type="checkbox"/> C40	<del>Rammensee et al., <i>Immunogenet.</i> (1995) 41: 178-228, "MHC ligands and peptide motifs: first listing"</del>
<input checked="" type="checkbox"/> C41	Rotzschke et al., <i>Nature</i> (Nov. 15, 1990) 348: 252-254, "Isolation and analysis of naturally processed viral peptides as recognized by cytotoxic T cells"
<input type="checkbox"/> C42	Rotzschke et al., <i>Science</i> (July 20, 1990) 249: 283-287, "Characterization of naturally occurring minor histocompatibility peptides including H-4 and H-Y"
<input checked="" type="checkbox"/> C43	Jiang et al., <i>Science</i> (May 22, 1992) 256: 1213-1215, "Role of CD8 <sup>+</sup> T cells in murine experimental allergic encephalomyelitis"

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<u>2/24</u> C44	Koh et al., <i>Science</i> (May 22, 1992) 256: 1210-1213, "Less mortality but more relapses in experimental allergic encephalomyelitis in CD8 <sup>+</sup> mice"		
— C45	Miller et al., <i>Proc. Nat'l. Acad. Sci. USA</i> (January 1992) 89: 421-425, "Suppressor T cells generated by oral tolerization to myelin basic protein to suppress both in vitro and in vivo immune responses by the release of transforming growth factor $\beta$ after antigen-specific triggering"		
— C46	Foon, <i>Cancer Res.</i> (April 1, 1989) 49: 1621-1639, "Biological response modifiers: the new immunotherapy"		
— C47	Parker et al., <i>J. Immunol.</i> (December 1, 1992) "Sequence motifs important for peptide binding to the human MHC Class I molecule, HLA-A2"		

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Attorney Docket No. 14137-0058-40  
Serial No.: 08/349,177

Applicant: HAROLD M. GREY, et al. **GROUP 1800**

Filing Date: December 2, 1994  
Group: 1816

Reference Designation **U.S. PATENT DOCUMENTS**

Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)

**FOREIGN PATENT DOCUMENTS**

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**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

C48 RB	Sarobe et al., Eur. J. Immunol. (1991) 21: 1555-1558 "Induction of antibodies against a peptide hapten does not require covalent linkage between the hapten and a class II presentable T helper peptide"

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